


# FORM FOR THE REFERRAL OF A PROPOSED ACTION TO THE NT EPA

Note: This form cross-references information provided in a supporting document referred to as **Attachment 1 Environment Protection Act (EP Act) Referral Supporting Information Document**

1. Referrer details			
Proponent or authorised representative <input checked="" type="checkbox"/>		Statutory decision-maker <input type="checkbox"/>	
Name (print) <b>Blair Duncan</b>		Signature 	
Position	Chief Operating Officer	Organisation	Core Lithium Ltd.
Email	<a href="mailto:BDuncan@corelithium.com.au">BDuncan@corelithium.com.au</a>		
Address	Level 1, 366 King William Street,		
Suburb	Adelaide	State/Territory	South Australia
		Postcode	5000
<b>Does the referrer request that the NT EPA treat any part of the information in the referral as confidential?</b>  <i>Provide an application under regulation 271 of the EP Regulations and the confidential information in a separate attachment.</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Referral declaration for proponent and statutory decision-maker:  I, Blair Duncan, ( <i>full name</i> ) declare that I am authorised to refer this proposed action on behalf of Core Lithium Limited, and further declare that: <ul style="list-style-type: none"> <li>• the referral has been prepared in accordance with the EP Act and EP Regulations; and</li> <li>• the referral does not provide false or misleading information; and</li> <li>• the proponent is a fit and proper person to hold an environmental approval in accordance with section 62 of the EP Act; and</li> <li>• the proponent fully understands that referring a proposed action under the EP Act does not limit, in any way, the requirements of the proponent to ensure approvals under any other regulatory regime are applied for, and adhered to.</li> <li>• the proponent has fulfilled its general duty in accordance with section 43 of the EP Act</li> </ul>			
Provide details of how the general duties have been met by referencing a part of, or appendix to, the referral that provides the explicit details of how section 43(a) to (g) of the EP Act requirements have been met.			
2. Referral type			
What type of proposal is being referred?		<input checked="" type="checkbox"/> proposed action  <input type="checkbox"/> strategic proposal  <input type="checkbox"/> proponent initiated EIS*	

<b>Provide a brief justification for why you consider the action may have a significant impact on the environment and warrants referral to the NT EPA. *If the referral is for a proponent initiated EIS, please include reasons for why an EIS is necessary.</b>	The impacts to groundwater hydrology associated with dewatering the proposed underground mine are still under investigation. It is not anticipated that the action will have a significant impact on groundwater; however, this cannot be said with certainty until the groundwater studies are completed. It is our opinion that all other environmental factors have been studied in sufficient detail to conclude that the proposal is unlikely to have a significant impact.		
<b>If the referral is for a proponent initiated EIS, are there draft terms of reference and statement of reasons included?</b>	Not Applicable		
<b>3. Proponent and proposal description</b>			
<b>3.1 Proponent details</b>			
<b>Title of the proposed action</b>	Finniss Lithium Project – BP33 Underground Mine		
<b>Name of the proponent/s (including Trading Name if relevant)</b>	Core Lithium Limited		
<b>Australian Company Number(s)</b> ✓	ACN: <a href="#">146287809</a>		
<b>Contact for the proposed action (if different from the referrer)</b> <i>Please include: name, physical address, phone, and email.</i>	Same as referrer above		
<b>3.2 Northern Territory approvals and regulation</b>			
<b>Is rezoning of any land required before the proposed action can be implemented?</b> <b>If yes, please provide details.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Please identify other approvals required for the proposed action:</b> <a href="#">Refer to Attachment 1 - Section 2</a>			
<b>Proposed activities</b>	<b>Land tenure/access</b>	<b>Type of approval</b>	<b>Legislation regulating the activity</b>
<a href="#">Refer to Attachment 1 - Section 2 and 3 (proposed activities description)</a>			
<b>3.3 Location</b>			
<b>Provide map/s showing the proposed action's location and footprint.</b>		Refer <a href="#">Attachment 1 Figure 1-1. Map of project location &amp; Figure 3-3 Map of mine site footprint and preliminary layout.</a>	
<b>Location:</b> a) <b>street address, suburb, tenement details, lot/section numbers, town/hundred, NT Portion or pastoral lease</b>		Refer to <a href="#">Attachment 1 Section 1.4 Location and tenure</a>	

<p>numbers, as applicable; or</p> <p>b) if remote, the nearest town or recognisable feature and distance and direction from that town/feature to the site of the proposed action.</p>	
<p>Provide land tenure and zoning details.</p>	<p>Refer to Attachment 1 Table 1-1 Land information for project area</p>
<p>What is the current land use of the proposed footprint? If known, detail the land use history within the proposed footprint.</p>	<p>Refer to Attachment 1 Section 5.2 Land use history Section 5.2.1 Surrounding land uses</p>
<p><b>3.4 Description of proposed action</b></p>	
<p>Describe the key characteristics of the proposed action <i>Provide as an attachment to the form</i></p>	<p>Refer to Attachment 1 Section 3 Description of proposal</p>
<p>Have you provided electronic spatial data, maps and figures in the appropriate format?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p><b>3.5 Alternatives</b></p>	
<p>Describe alternative location/s of the action or its components and justify the selection.</p>	<p>Refer to Attachment 1 Section 4 Alternatives</p>
<p>List alternative timeframes considered and their effects on duration and intensity of impacts/benefits.</p>	
<p>List alternative activities considered (e.g. ore processing vs direct shipping ore; new port facilities vs use of existing port facilities)</p>	
<p><b>4. Existing environment</b></p>	
<p>Describe the existing natural environment within and in proximity to the proposed footprint.</p>	<p>Refer to Attachment 1 Section 5 Existing Environment</p>
<p>Describe any important sites or features within or near the proposed action.</p>	<p>Refer to Attachment 1 Section 5.4 Significant sites or features</p>
<p>Describe the social structure and economy of the region.</p>	<p>Refer to Attachment 1 Section 5.2.1 Surrounding land uses Section 12.2.1 Social and economic environment</p>
<p><b>5. Environmental factors</b></p>	
<p>What are the environmental factors that could be significantly impacted by this proposed action?</p>	<p><input type="checkbox"/> Landforms <input checked="" type="checkbox"/> Terrestrial environmental quality <input checked="" type="checkbox"/> Terrestrial ecosystems</p>

	<input checked="" type="checkbox"/> Hydrological processes <input checked="" type="checkbox"/> Inland water environmental quality <input checked="" type="checkbox"/> Aquatic ecosystems <input type="checkbox"/> Coastal processes <input type="checkbox"/> Marine environmental quality <input type="checkbox"/> Marine ecosystems <input type="checkbox"/> Atmospheric processes <input type="checkbox"/> Air quality <input checked="" type="checkbox"/> Society and economy <input type="checkbox"/> Culture and heritage <input type="checkbox"/> Human Health
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For **each** of the environmental factors identified above, complete the table at 6 below, or provide the information in a supplementary report

## 6. Potential environmental impacts

1	<b>Receiving environment</b> – Describe the current condition of the receiving environment in relation to this factor.	Refer Attachment 1
2	<b>Potential impacts</b> – Identify and assess the potential impacts of the action against the NT EPA objective for the environmental factor.	Terrestrial Ecosystems is addressed in Section 7
3	<b>Mitigation</b> – Describe the measures proposed to avoid, mitigate or offset the potential environmental impacts.	Terrestrial Environmental Quality is addressed in Section 8
4	<b>Assumptions</b> - Describe any assumptions critical to your assessment.	Aquatic Ecosystems is addressed in Section 9
5	<b>Relevant policy and guidance / industry standards</b> used in applying mitigation measures.	Hydrological Processes is address in Section 10
6	<b>Consultation</b> – Outline the outcomes of consultation in relation to the potential environmental impacts and proposed mitigation.	Inland Water Quality is addressed in Section 11
7	<b>Cumulative impact</b> – of this action with other actions in the area.	Society and Economy is addressed in Section 12
8	<b>Ecologically sustainable development</b> – how have the following principles been considered/applied? <ul style="list-style-type: none"> <li>a) Decision-making principle</li> <li>b) Precautionary principle</li> <li>c) Principle of evidence-based decision-making</li> <li>d) Principle of intergenerational and intragenerational equity</li> <li>e) Principle of sustainable use</li> <li>f) Principle of conservation of biological diversity and ecological integrity</li> <li>g) Principle of improved valuation, pricing and incentive mechanisms</li> </ul>	Section 13 Consultation provides details of the stakeholder engagement program that is planned to align with the release of the Referral for public comment. Section 14 Cumulative impacts addresses the cumulative impact of the action with other actions for all factors.

9	<b>Residual impact</b> – provide a statement of the expected residual impact to the environmental factor.	
<b>7. Commonwealth Government approvals</b>		
Does the proposed action involve an action that may be or is a controlled action under the <i>Environment Protection and Biodiversity Conservation Act 1999</i> (EPBC Act)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Has the proposed action been referred? If yes, when was it referred and what is the reference number (EPBC No.)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If the proposed action has not been referred, does the referrer intend to refer it?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Refer Attachment 1 Section 15 for assessment and justification	
If referred, has a decision been made on whether the proposed action is a controlled action? If 'yes', check the appropriate box and provide the decision in an attachment.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decision – controlled action <input type="checkbox"/> Decision – not a controlled action	
Is approval required from other Commonwealth Government/s for any part of the proposed action? <i>If yes, describe.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Approval:	