

Referral form

Refer proposed action or strategic proposal under the Environment Protection Act 2019

Before you fill in the form

Guiding notes

- This form must be read in conjunction with environmental impact assessment guidance for proponents on the [NT EPA website](#) including Referring a proposal to the Northern Territory Environment Protection Authority (NT EPA).
- This form contains five (5) parts:
 - Part A – Proponent details
 - Part B – Proposal details
 - Part C – Referral details
 - Part D – Referrer declaration
 - Part E – Nominated contact.
- This form contains two checklists:
 - Cross reference of matters addressed in the referral report
 - Proponent’s general duty.
- This form will be published.
- Further guidance or example responses are provided below in light grey text.

These guiding notes may be deleted before submitting the referral form and report.

PART A – Proponent details	
Name of the proponent (legal entity)	Lithium Development (Grants) Northern Territory Pty Ltd
Proponent details	<i>Name:</i> Paul Brown <i>Position/responsibility:</i> Managing Director <i>Physical address:</i> Level 4, 186 St Georges Terrace, Perth, WA, 6000 <i>Postal address:</i> PO Box 7890, Perth, WA, 6850, Australia <i>Phone:</i> +61 8 83171700 <i>Email:</i> info@corelithium.com.au

Proponent Trading Name if relevant	Core Lithium Ltd (CXO)
Australian Business Number Australian Company Number	146 287 809 80 146 287 809
Provide a description of the organisational structure with respect to responsibility of the proposed action, environmental approvals and implementation.	Core is the 100% owner of the Finnis Lithium Operation near Darwin in the Northern Territory. An experienced Board and Management team with development and operational mining experience and skills is based out of Perth. The MD holds responsibility for signing environmental approval submissions.
Joint-venture partners (if applicable)	N/A
PART B – Outline of the proposal and location	
Outline of the proposed action or strategic proposal (proposal)	
Name of proposal	Finniss Lithium Project BP33 Underground Mine – Proposed Variations
Provide a brief summary (one or two paragraphs) of the proposal including the activity type/ industry/ duration.	<u>Variation:</u> The Proposal seeks to increase the depth of BP33 underground mine, previously approved to a depth of 320 metres below ground level, to an extended depth of 850 metres below ground level. The extended mine will produce 10.1Mt of ore over an operating period of up to 12 years. Rehabilitation is planned to complete within a year of final ore having been extracted with a progressive closure and rehab strategy proposed.
Location	
Provide location details as: a) street address, suburb	Site address: 2873 Cox Peninsula Rd, Cox Peninsula, Section 00001 Hundred of Parsons

b) tenement, lot/section numbers, town/hundred, NT Portion or pastoral lease numbers, as applicable	<p>Mineral Leases: ML32346 (BP33 mine and mine infrastructure), ML32074 (Observation Hill DAM (OHD) pipeline route, site access road), MLN16 (Cox Peninsula Road)</p> <p>Mineral Leases: ML31726 (Grants mining and processing infrastructure), ML32074 (ancillary infrastructure - OHD pipeline route), ML32278 (mine site dam - west), Extracted Mining Permit: EMP28651 (borrow pit - OHD)</p>
c) the nearest town, recognisable feature, and distance and direction from that town/feature to the site of the proposed action.	<p>Closest community: Belyuen</p> <p>Closest township: Berry Springs, 33 km west</p>
Name of the Local Government Area/s in which the proposal is located.	Unincorporated (Cox-Daly) area
What is the land tenure type, and proposed land tenure type ?	Vacant Crown Land owned by the NT Government
Does the proponent have the legal (land) access required for the implementation of all aspects of the proposal?	<p><input type="checkbox"/> No</p> <p>If no, provide details of legal access authorisations /agreements / tenure is required and from whom.</p> <p><input checked="" type="checkbox"/> Yes</p> <p>If yes, provide an indication of legal access authorisations / agreement / tenure.</p>
Is the land zoned under the NT Planning Scheme ?	<p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes</p> <p>If yes, what is/are the zoning/s?</p> <p>Rural</p>
What is the current land use of the proposal site/s?	Mining leases with mining infrastructure
What is the approximate distance (direct line) and direction to the closest human sensitive receptor?	Closest residents are 10+ km away

For example, residence, accommodation, hospital, school, homeland from the proposal.	
What is the proposed end land use of the proposal site/s	Rehabilitated post-mining
Consultation	
Provide an overview of consultation undertaken specific to the proposal and potential environmental impacts.	Core commenced an engagement program with stakeholders and community in February 2026. Engagement included an detail of the proposed activities and infrastructure included in this project variation and a general update about Finniss Lithium Projects. Engagement methods included face to face meetings, a community meeting, community information stalls, distribution of fact sheets, posters, a frequently asked questions document and making information available on Core's website.
List the matters raised in consultation and identify how the proposal has been modified to respond to stakeholder feedback.	Key themes raised in consultation were in relation to employment opportunities and shared use of Cox Peninsula Road. The proposal includes commitment to collaborate with Department of Logistics and Infrastructure in relation to maintenance programs completed by DLI for the NT public road. Core has committed to providing information to local and regional community members in relation to employment opportunities at the Finniss Lithium Project mines, Grants and BP33.
PART C - Referral type	
What type of proposal is being referred?	<input checked="" type="checkbox"/> proposed action <input type="checkbox"/> strategic proposal <input type="checkbox"/> proponent initiated EIS referral
Provide a brief justification including the reasons why you consider the action may have a significant impact on the environment and is referred to the NT EPA.	<p>The action was found to have a potential significant impact in relation to greenhouse gas emissions.</p> <p>A greenhouse gas assessment has been undertaken for the proposed integrated operations across Grants and BP33 mine</p>

Refer to section 11 of the EP Act and the NT EPA's environmental factors and objectives .	sites. The assessment found that GHG scope 1 emissions exceed 100,000 tCO ₂ -e annually in year 3 of the project (2029).
Does the proposal involve an action that may be or is a controlled action under the Commonwealth <i>Environment Protection and Biodiversity Conservation Act 1999</i> (EPBC Act)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Has the proposed action been referred?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide the date referred and reference number (EPBC number)? Date: _____ EPBC number: _____
If referred, has a decision been made on whether the proposed action is a controlled action?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, check the appropriate decision outcome and provide the decision in an attachment. <input type="checkbox"/> Decision - controlled action <input type="checkbox"/> Decision - not a controlled action
PART D – Proponent referrer details and declaration *The referral form must include the declaration signed by the proponent, or where the proponent is an organisation or business, the Chief Executive Officer (CEO) or duly authorised delegate within the proponent company.	
Who is referring this proposal?	<input checked="" type="checkbox"/> Proponent <input type="checkbox"/> Authorised representative within proponent entity
Does the proponent request that the NT EPA treat any part of the information in the referral as confidential under section 281(2) of the EP Act?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes, provide an application in accordance with regulation 271 of the Environment Protection Regulations 2020 and submit the confidential information as a separate attachment

Referral declaration by proponent:

I, Paul Brown, declare that I am authorised to refer this proposed action/ strategic proposal on behalf of Lithium Developments (Grants) Northern Territory Pty Ltd, and further declare that:

- the attached environmental impact assessment documents have been prepared in accordance with the EP Act and EP Regulations; and
- the attached environmental impact assessment documents (including attachments) are true; and
- the attached environmental impact assessment documents do not provide false or misleading information and I know it is an offence to provide false and misleading information, noting the penalties under section 260 of the EP Act, and section 119 of the *Criminal Code Act 1983*; and
- the proponent fully understands that referral under the EP Act does not limit, in any way, the requirements of the proponent to ensure approvals under any other regulatory regime are applied for, and adhered to; and
- the proponent has fulfilled its general duty in accordance with section 43 of the EP Act.

Note: if the NT EPA determine that an environmental approval is required, the proponent will be requested to provide supporting documents during the assessment process such as details to support that the person is a fit and proper person to hold an environmental approval in accordance with section 62 of the EP Act

Name: (print) Paul Brown

Signature:



Date: 27 March 2026

Position: Managing Director

Organisation (if a business or organisation): Core Lithium Ltd

Email: info@corelithium.com.au

Address: Level 4, 186 St Georges Terrace, Perth, WA, 6000

PART E – Nominated contact

Contact details for proponent contact
(provide the details for the person

Business name: Core Lithium Ltd

Name of primary contact: Helen Astill

who will be corresponding with the NT EPA on the proposal)	<p>Physical address: Level 4, 186 St Georges Terrace, Perth, WA</p> <p>Postal address: PO Box 7890, Perth, WA, 6850, Australia</p> <p>Phone: +61 8 83171700</p> <p>Email: info@corelithium.com.au</p>
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CHECKLIST 1 - Cross reference of matters addressed in the referral report (for more detail refer to the Referring a proposal to the NT EPA guidance)

Item	See Referral guidance for further detail on information requirements	Report section / page
<i>Publication statement</i>	Provide name and qualifications of relevant contributors to the referral.	S 1.4
<i>Executive summary</i>	Overview of the proposal, its potential for significant impact and key conclusions.	Executive summary
<i>Introduction</i>	Include a brief introduction to the proposal and the proponent (noting proponent details are also to be included in the referral form / environmental approval application form).	S 1
<i>Proposal description - Key components</i>	<p>Provide a clear and detailed description of the proposal, referencing maps and spatial information.</p> <p>Provide a key components summary table.</p> <p>Identify uncertainty / likely changes if particular elements of a proposal require further design at the time of referral.</p> <p>Provide an account of past, present and reasonably foreseeable future development, operations, or industries that are related the current proposal.</p>	S 2 & 3
<i>Proposal description - Location and regional context</i>	Location and regional context.	S 2
<i>Proposal description - Alternatives (options)</i>	<p>Describe any alternatives (location, timeframes, activities) considered or are under consideration in scoping and developing the proposal.</p> <p>Describe how the analysis of alternatives accounted for the <i>principles of environment protection and management</i> (Part 2 of the EP Act).</p> <p>Justification for the preferred/selected option.</p> <p>Describe any assumptions critical to your assessment.</p>	S 2.6

<p><i>Proposal description – Application of the:</i></p> <ul style="list-style-type: none"> – Principles of environment protection and management (Part 2) – General duty of proponents (s43) 	<p>Discuss how the proposal accounts for the <i>principles of environment protection and management</i> (Part 2 of the EP Act) and the general duty of proponents provided for under section 43 of the EP Act:</p> <ul style="list-style-type: none"> • Principles of ecologically sustainable development • Environmental decision-making hierarchy • Waste management hierarchy. <p>The EP Act (section 3 and section 43) puts an obligation on the proponent to consult with stakeholders and the community in the development of the proposal.</p> <p>As an example, the referral should include:</p>	S 2.9
<p>Consultation</p> <p>Refer to NT EPA Stakeholder Engagement guidance 2020</p>	<ul style="list-style-type: none"> • a description of stakeholder engagement and community consultation undertaken • an outline of the method and process of consultation with stakeholders • a summary of the key matters raised during consultation • any changes made as a result of consultation • the ongoing consultation, and options for feedback • whether the consultation has or has not been undertaken in accordance with NT EPA guidance on Stakeholder Engagement • whether the consultation has or hasn't been undertaken in accordance with the section 43 (EP Act) general duty of proponents (see Checklist 2). 	S 5
<p><i>Strategic and statutory context</i></p>	<p>Table discussing proposal specific legislation, policies, and guidelines that are and may be applicable to the proposal and the sequencing and status of those, is provided.</p> <p>The sections below relate to information that describes the potential impacts of the proposal on the NT EPA's Environmental factors.</p>	S 6
<p><i>Environmental Factors</i></p>	<p>Information requirements for each environmental factor identified by the pre-referral screening tool are provided (any technical studies and surveys included).</p>	S 8
<p><i>Environmental Factors and objectives</i></p> <p>Presence/absence of environmental values</p>	<p>Land – Terrestrial Environmental Quality</p> <p>The presence or absence of relevant environmental values and sensitivities are verified.</p> <p>Specify the source and currency of information (e.g. desktop assessments, and/or field surveys, the methods used, dates, sources, and whether the approach is conducted in accordance with relevant regulatory and industry guideline.</p>	S 9

<p><i>Environmental Factors and objectives</i></p> <p>Potential impacts and consistency with relevant policy/guidance</p>	<p><i>Land - Terrestrial Ecosystems</i></p> <p>Assessment of potential impacts (negative, direct, indirect, cumulative, short and long-term) of the proposal.</p> <p>Relevant policy and guidance described.</p> <p>Residual / remaining impact to the environmental factor described.</p>	<p>S 10</p>
<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>Water - Hydrological Processes</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> • measures proposed to avoid, mitigate or offset (if appropriate) • effectiveness of proposed measures and the level of confidence of implementation • whether the NT EPA's objective for the environmental factor is likely to be met. 	<p>S 11</p>
<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>Water - Aquatic Ecosystems</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> • measures proposed to avoid, mitigate or offset (if appropriate) • effectiveness of proposed measures and the level of confidence of implementation <p>whether the NT EPA's objective for the environmental factor is likely to be met.</p>	<p>S 12</p>
<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>Water - Inland Water Environmental Quality</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> • measures proposed to avoid, mitigate or offset (if appropriate) • effectiveness of proposed measures and the level of confidence of implementation <p>whether the NT EPA's objective for the environmental factor is likely to be met.</p>	<p>S 13</p>

<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>Air - Air Quality</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> measures proposed to avoid, mitigate or offset (if appropriate) effectiveness of proposed measures and the level of confidence of implementation <p>whether the NT EPA's objective for the environmental factor is likely to be met.</p>	<p>S 14</p>
<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>Air - Atmospheric Processes</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> measures proposed to avoid, mitigate or offset (if appropriate) effectiveness of proposed measures and the level of confidence of implementation <p>whether the NT EPA's objective for the environmental factor is likely to be met.</p>	<p>S 15</p>
<p><i>Environmental Factors and objectives</i></p> <p>Environment protection and management</p>	<p><i>People - Community and Economy</i></p> <p>Describe in terms of management hierarchies:</p> <ul style="list-style-type: none"> measures proposed to avoid, mitigate or offset (if appropriate) effectiveness of proposed measures and the level of confidence of implementation <p>whether the NT EPA's objective for the environmental factor is likely to be met.</p>	<p>S 16</p>
<p>Cumulative impacts</p>	<p>Describe potential cumulative impacts.</p>	<p>7</p>

CHECKLIST 2 - Consideration of the Proponent's general duty (in accordance with section 43 of the EP Act)

Section 43 General duty of proponents	Done	Comment
<p>The following principles of ecologically sustainable development must be taken into consideration in the design of the proposed action.</p>		<p>Provide comment here</p>
<ul style="list-style-type: none"> Decision-making principle 	<p>✓</p>	<p>The Proposal has considered both long-term and short-term environmental and equitable matters. Refer Table 2-3</p>

<ul style="list-style-type: none"> Principle of proportionality 	✓	The principle of proportionality has been considered in the design and assessment of the components included in the Proposal. Refer Table 2-3	
<ul style="list-style-type: none"> Precautionary principle 	✓	The precautionary principle has been applied in the development of the Proposal and this referral. Refer Table 2-3	
<ul style="list-style-type: none"> Principle of evidence-based decision-making 	✓	The Proposal is based on the most relevant and best available knowledge, including previous studies undertaken. Refer Table 2-2	
<ul style="list-style-type: none"> Principle of intergenerational and intergenerational equity 	✓	The planning and predictions of the possible intergenerational equity impacts have been undertaken through the stakeholder engagement process. Refer Table 2-3	
<ul style="list-style-type: none"> Principle of sustainable use 	✓	The Proposal does ensure that natural uses are used in a manner that is sustainable, prudent, rational, wise and appropriate. Refer Table 2-3	
<ul style="list-style-type: none"> Principle of conservation of biological diversity and ecological integrity 	✓	The Proposal will stay within the previously approved disturbance footprints (as detailed in NT EPA, 2024a and NT EPA, 2024b). Refer Table 2-3	
<ul style="list-style-type: none"> Principle of improved valuation, pricing and incentive mechanisms 	✓	The Proponent will ensure that material environmental risks and impacts are appropriately identified and accounted for using environment social governance frameworks that align with investor and stakeholder expectations. Refer Table 2-3	
The following management hierarchies must be taken into consideration in the design of the proposed action.		Provide comment here	
<ul style="list-style-type: none"> Environmental decision-making hierarchy 	✓	In assessing each potential impact, the environmental decision-making hierarchy has been applied resulting in several key design decisions. Refer Table 2-3	
<ul style="list-style-type: none"> Waste management hierarchy 	✓	The Proposal will continue to manage waste in accordance with the waste management hierarchy, using the Proponents existing Mining Management Plan until a future Environmental Mining Licence is held. Refer Table 2-3	
Other section 43 considerations			
<ul style="list-style-type: none"> Have communities that may be affected by the proposed action been provided with information and opportunities for consultation? 	✓	Yes - refer Section 5	
<ul style="list-style-type: none"> Has consultation with affected communities, including Aboriginal communities' been undertaken in a culturally appropriate manner? 	✓	Yes - refer Section 5	

<ul style="list-style-type: none"> Has community knowledge and understanding (including scientific and traditional knowledge and understanding) of the natural and cultural values of areas that may be impacted by the proposed action been sought and documented? 	✓	Yes – refer Sections 5 and 15
<ul style="list-style-type: none"> Have Aboriginal values and the rights and interests of Aboriginal communities' been addressed in relation to areas that may be impacted by the proposed action? 	✓	Yes – refer Section 5

How to submit

Email your completed form to Environmental Assessments, Department of Environment, Parks and Water Security at eia.ntepa@nt.gov.au

Further information

For further information, contact Environmental Assessments, Department of Environment, Parks and Water Security (DEPWS) at eia.ntepa@nt.gov.au or phone 08 8924 4218.

Collection notice

Purpose: The purpose for the collection of information using this form is to provide the NT EPA with the relevant information needed to administer the environmental impact assessment process under the *Environment Protection Act 2019*.

Failure to collect information: If the DEPWS does not collect this information, then correspondence will continue to be with the proponent last notified to the NT EPA/Minister and it may have implications in the Minister's consideration of a fit and proper person under s 62 of the Environment Protection Act and environmental approval holder entity/name.

Who is collecting the information: The information is collected by the DEPWS, who provide services to the NT EPA/Minister.

Who to contact for more information: The [DEPWS Privacy Policy](#) sets out how you can access and/or correct your personal information and how you can make a complaint if you feel we have not complied with the [Privacy Act 1988](#).

All enquiries about access, correction or to make a complaint should be directed to the Privacy Officer on (08) 8999 4410 business days, 8.00am - 4.21pm or write to PO Box 496, Palmerston, NT 0831 or email StrategicServices.DEPWS@nt.gov.au