

ANNUAL RETURN

Information on this form is required in accordance with the conditions of your licence or approval issued under part 5 of the *Waste Management and Pollution Control Act* or part 7 of the *Water Act*.

Failure to provide the information requested on this form and/or the provision of false or misleading information is an offence under the legislation and you may be liable to heavy penalties.

| | |
|----------------------|-------------------------------------|
| LICENCE/APPROVAL NO. | WDL177-08 |
| REPORTING PERIOD | 20 December 2019 - 20 December 2020 |

Section 1. Licence/Approval Holder Details

Please check your business details and contact details including 24 hour emergency response in NT EPA online and/or on page one of your licence.

Are these details correct?

- Yes Go to Section 2
- No Please correct your details by updating in NT EPA Online or complete the table below.

| | |
|-----------------------------------|--|
| Licence holder | |
| Legal Entity Name: | Northern Territory Resources Pty Ltd |
| ABN: | 78 124 647 829 |
| Registered Business Address: | Unit 42 / 29 Woods Street Darwin 0800 |
| Postal Address: | PO Box 37446 Winnellie NT 0821 |
| Contact Person: | Andrew Tong |
| Position Title: | Director |
| Contact Details: | |
| b/h: | |
| mobile: | 0438 675 333 |
| email: | Andrew.Tong@ntresources.com |
| Location of premises | |
| Address: | Browns Project Rum Jungle Road Batchelor NT 0845 |
| 24 hour emergency response | |
| Position Title: | Greg Molloy |
| phone: | 0437 690 958 |

| |
|----------------------|
| mobile: 0437 690 958 |
|----------------------|

Section 2. Statement of Compliance

Were all conditions of the licence/approval complied with during the reporting period?

- Yes Proceed to Section 4.
- No Complete details below (add more rows if required)

| Details of Non-compliance | | | | |
|---------------------------|-----------------------------------|---------------------------------|---|---|
| Condition number | Date of non-compliance (dd/mm/yy) | Was NT EPA notified? (Yes / No) | If yes, date NT EPA notified (dd/mm/yy) | If yes, how was NT EPA notified? (e.g. phone, email, Pollution Hotline) |
| | | If no, complete Section 3 | | |
| Condition 46 Table 3 | 11 August 2020 | No | | |
| Condition 48 | 11 August 2020 | No | | |
| Condition 13 | 11 August 2020 | No | | |
| Appendix 1 Footnote 4 | April 2020 | No | | |
| | | | | |
| | | | | |

Section 3. Report of Non-compliance

Please supply the following details for each non-compliance not reported to the NT EPA identified in Section 2. Use a separate page for each non-compliance.

| |
|---|
| The date and time of the non-compliance. |
| 11 August 2020 - submission date of Annual Monitoring Report |
| When the non-compliance was detected and by whom. |
| The non-compliance was detected by the NT EPA in their review of the 2019-2020 Annual Monitoring Report |
| The actual and potential causes and contributing factors to the non-compliance. |
| <p>Condition 48.1 requires that the Monitoring Report is prepared in accordance with the requirements of the 'Guideline for Reporting on Environmental Monitoring'. There are several sections in the guideline that have not been provided in the Monitoring Report.</p> <p>Condition 48.2 - Compliant</p> <p>Condition 48.3 - A long term trend analysis that discusses / demonstrates any environmental impact was not provided. Part of this condition has been met by providing charts of data for the required period.</p> <p>Condition 48.4 -Inclusion of an appendix comprising groundwater charts provided, however limited analysis is provided to meet the intent of the condition.</p> <p>Condition 48.5 - Compliant.</p> |
| The risk of environmental harm arising from the non-compliance. |
| Nil |
| The action(s) that have or will be undertaken to mitigate any environmental harm arising from the non-compliance. |
| NA |
| Corrective actions that have or will be undertaken to ensure the non-compliance does not reoccur. |
| The 2020/21 Annual Report will be provide in accordance with the Guidelines. The draft |

WDL177-09 describes more concise reporting requirements.

If no action was taken, why no action was taken.

Additional information and data was provided to the NT EPA in accordance with Condition 6 information requests.



Section 3. Report of Non-compliance

Please supply the following details for each non-compliance not reported to the NT EPA identified in Section 2. Use a separate page for each non-compliance.

| |
|--|
| The date and time of the non-compliance. |
| 11 August 2020 - submission date of Annual Monitoring Report |
| When the non-compliance was detected and by whom. |
| The non-compliance was detected by the NT EPA in their review of the 2019-2020 Annual Monitoring Report |
| The actual and potential causes and contributing factors to the non-compliance. |
| Condition 46 Table 3 specifies that data between 1 July 2019 - 30 June 2020 be reported. NTR provided data over a longer period between 1 January 2018 and 30 June 2020 more in line with Condition 48.3 to evaluate long term trends. A specific water quality report for the annual period (2019/2020) was not provided. |
| The risk of environmental harm arising from the non-compliance. |
| Nil |
| The action(s) that have or will be undertaken to mitigate any environmental harm arising from the non-compliance. |
| NA |
| Corrective actions that have or will be undertaken to ensure the non-compliance does not reoccur. |
| NTR will report only one year data as per the revised reporting table in WDL177-09. |
| If no action was taken, why no action was taken. |
| NA |

Section 3. Report of Non-compliance

Please supply the following details for each non-compliance not reported to the NT EPA identified in Section 2. Use a separate page for each non-compliance.

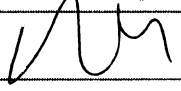
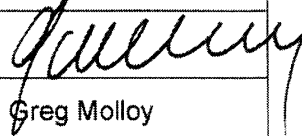
| |
|---|
| The date and time of the non-compliance. |
| 11 August 2020 - submission date of Annual Monitoring Report |
| When the non-compliance was detected and by whom. |
| The non-compliance was detected by the NT EPA in their review of the 2019-2020 Annual Monitoring Report |
| The actual and potential causes and contributing factors to the non-compliance. |
| The community feedback number was not displayed in the consultation and communication plan. A website is not available. The community feedback number is displayed on the premises front gate sign. |
| The risk of environmental harm arising from the non-compliance. |
| Nil |
| The action(s) that have or will be undertaken to mitigate any environmental harm arising from the non-compliance. |
| NA |
| Corrective actions that have or will be undertaken to ensure the non-compliance does not reoccur. |
| The consultation and communication plan has been updated to include the community feedback number. |
| If no action was taken, why no action was taken. |
| NA |

Section 3. Report of Non-compliance

Please supply the following details for each non-compliance not reported to the NT EPA identified in Section 2. Use a separate page for each non-compliance.

| |
|---|
| The date and time of the non-compliance. |
| April 2020 monthly report |
| When the non-compliance was detected and by whom. |
| The non-compliance was detected by the NT EPA in their review of the 2019-2020 Annual Monitoring Report |
| The actual and potential causes and contributing factors to the non-compliance. |
| Hardness modified trigger values (HMTV) have been applied on a per discharge basis – a lower frequency of comparison. |
| The risk of environmental harm arising from the non-compliance. |
| Nil |
| The action(s) that have or will be undertaken to mitigate any environmental harm arising from the non-compliance. |
| NA |
| Corrective actions that have or will be undertaken to ensure the non-compliance does not reoccur. |
| Corrective actions have been taken by rewording this condition to specify frequency in the draft WDL177-09. |
| If no action was taken, why no action was taken. |
| NA |

Section 4. Signature and Certification

| | | | |
|---|---|--|---|
| This declaration must only be signed by a person(s) with the legal authority to sign it. The ways in which the application may be signed, and the people who may sign the application, are set out in the categories below. | | | |
| If the licence holder is: | Tick | The application must be signed and certified by one of the following: | |
| An individual | <input type="checkbox"/> | The individual. | |
| A partnership | <input type="checkbox"/> | A partner. | |
| A company | <input type="checkbox"/> | The common seal being affixed in accordance with the <i>Corporations Act</i> , or | |
| | <input type="checkbox"/> | Two directors, or | |
| | <input checked="" type="checkbox"/> | A director and a company secretary, or | |
| | <input type="checkbox"/> | If a proprietary company that has a sole director who is also the sole company secretary – by that director. | |
| A public authority | <input type="checkbox"/> | The Chief Executive Officer (CEO) of the public authority, or | |
| | <input type="checkbox"/> | By a person delegated to sign on the public authority's behalf in accordance with its legislation (Please note: a copy of the relevant instrument of delegation must be attached to this application). | |
| I/We hereby declare that the information provided in this Annual Return and accompanying documents is to the best of my/our knowledge, true and correct. | | | |
| Signature |  | Signature |  |
| Name (printed) | Andrew Tong | Name (printed) | Greg Molloy |
| Position | Director | Position | Company Secretary |
| Date | 22/12/20 | Date | 22/12/20 |
| Seal (if signing under seal): | | | |